

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.

Application No.: 09/967,272 Confirmation No.: 6788

Filed : September 28, 2001

For : ELECTRONIC TRADING SYSTEM FOR SIMULATING

THE TRADING OF CARBON DIOXIDE EQUIVALENT EMISSION REDUCTIONS AND METHODS OF USE

Group Art Unit : 3624

Examiner : Sandra S. Snapp

New York, New York 10020

March 9, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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TRANSMITTAL LETTER

GROUP 3600

Sir:

Transmitted herewith: [] a Preliminary Amendment; [X] a Reply to Office Action; [] a Supplemental Amendment; [] a substitute Specification; [] a Declaration; [] a Supplemental Declaration; [] a Power of Attorney; [] an Associate Power of Attorney; [] formal drawings; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

- [X] A fee for additional claims is not required.
- [] A fee for additional claims is required.

The additional fee has been calculated as shown below:

	AFTER	NING NUN	GHEST MBER EVIOUSLY ID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAI	L CLAIMS	-	*	= X	\$ 18 =	\$
INDEPENDENT CLAIMS - ** = X \$ 86 = \$						
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM + \$290 = \$						
*	If less th If less th	•			TOTAL	\$
[]	A check in the amount of \$ in payment of the filing fee is transmitted herewith.					
[X]	The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.					
[]	payment of	the filir	ng fee.		e copy	o. 06-1075 in of this

EXTENSION FEE

- [X] The following extension is applicable to the Response
 filed herewith; [X] \$110.00 extension fee for response
 within first month pursuant to 37 C.F.R. § 1.136(a);
 [] \$420.00 extension fee for response within second month
 pursuant to 37 C.F.R. § 1.136(a); [] \$950.00 extension
 fee for response within third month pursuant to 37 C.F.R.
 § 1.136(a); [] \$1,480.00 extension fee for response
 within fourth month pursuant to 37 C.F.R. § 1.136(a);
 [] \$2,010.00 within fifth month pursuant to 37 C.F.R.
 § 1.136(a).
 - [X] A check in the amount of [X] \$110.00; [] \$420.00;
 [] \$950.00; [] \$1,480.00; [] \$2,010.00 in payment
 of the extension fee is transmitted herewith.
 - [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
 - [] Please charge the [] \$110.00; [] \$420.00; [] \$950.00; [] \$1,480.00; [] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Laura A. Sheridan

Registration No. 48,446

Agent for Applicants

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PATENTS Attorney Docket No. CF-55

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GROUP 3600

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV079960404US

Date of Deposit: March 9, 2004

I hereby certify that this certification and the following papers:

- Transmittal Letter for Reply to Office Action 1. (in duplicate);
- Reply to Office Action; 2.
- 3. Transmittal Letter for Supplemental Information Disclosure Statement (in duplicate);
- Supplemental Information Disclosure Statement; 4.
- 5. Form PTO-1449 (in duplicate);

- 6. Copies of sixty-two (62) cited references;
- 7. A check in the amount of \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p); and
- 8. A check in the amount of \$110.00 in payment of the one-month extension of time

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22213-1450.

Name:

Lillian Garcia